

## Policy 3 Risk Management

**Section 1. Alcohol and Drugs.** In any activity or event sponsored or endorsed by the chapter, whether they occur on or off chapter premises, the following applies:

- a. The chapter, members, and guests must comply with all federal, state, provincial, and local laws. No person under the legal drinking age may possess, consume, provide, sell, or be provided alcoholic beverages.
- b. The chapter, members, and guests must follow federal laws regarding illegal drugs and controlled substances. No person may possess, use, provide, distribute, sell, and/or manufacture illegal drugs or other controlled substances while on chapter premises or at any activity or event sponsored or endorsed by the chapter.
- c. Alcoholic beverages must either be: (1) provided and sold on a per-drink basis by a licensed and insured third-party vendor (e.g., restaurant, bar, caterer, etc.); or (2) brought by individual members and guests through a bring your own beverage (“BYOB”) system.

### (1) Third-Party Vendor

- i) The third-party vendor (TPV) must be properly licensed by the appropriate local, provincial, and state authority. This might involve both a liquor license and a temporary license to sell on the premises where the function is to be held.
- ii) The TPV must be properly insured with a minimum of \$1,000,000 of general liability insurance, evidenced by a properly completed certificate of insurance prepared by the insurance provider.
- iii) The TPV must assume, in writing executed in the chapter name of Alpha Omicron Pi Fraternity, all the responsibilities that any other purveyor of alcoholic beverages would assume in the normal course of business, including but not limited to:
  - Check identification cards upon entry
  - Identify those who are of legal age to consume alcohol
  - All alcohol sales are cash/credit per drink to individuals
  - Refrain from serving minors
  - Refrain from serving individuals who appear to be intoxicated
  - Maintain absolute control of ALL alcoholic containers present
  - No excess alcohol - opened or unopened – is to be given, sold or furnished to the chapter
- iv) A guest list is required for all social events and attendance must be in compliance with section 1(h) contained within this policy.
- v) Event monitors, which shall include one officer, are required for all social events.
- vi) Designated driver programs are allowed when they are associated with an AOII event within the college/university area.

### (2) BYOB

- i) BYOB social functions are allowed if they are compatible with the campus/university social policy.
- ii) Each person of legal drinking age may bring to a BYOB event for personal consumption no more than six standard drinks below 15% alcohol by volume/ABV.
- iii) No hard liquor is allowed.

- iv) Common sources of alcohol, including bulk quantities, are prohibited (i.e., amounts of alcohol greater than what a reasonable person should consume over the duration of an event).
  - v) A guest list is required for all social events and attendance must be in compliance with Section 1(h) contained within this policy.
  - vi) Event monitors, which shall include one officer, are required for all social events.
  - vii) The chapter is responsible for checking IDs at the door to physically identify those of legal drinking age (e.g., non-removable wristband, non-transferable stamp or marking, etc.)
  - viii) Designated driver programs are allowed when they are associated with an AOII event within the college/university area.
- d. Common sources of alcohol, including bulk quantities, which are not being served by a licensed and insured third party vendor, are prohibited (i.e., amounts of alcohol greater than what a reasonable person should consume over the duration of an event).
- e. Alcoholic beverages must not be purchased with chapter funds or funds pooled by members or guests (e.g., admission fees, cover fees, collecting funds through digital apps, etc.).
- f. The chapter must not co-host or co-sponsor, or in any way participate in, an activity or event with another group or entity that purchases or provides alcohol, illegal drugs, or controlled substances.
- g. The chapter must not co-host or co-sponsor an event with a bar, event promoter, or alcohol distributor; however, the chapter may rent a bar, restaurant, or other licensed and insured third-party vendor to host a chapter event for the purposes of philanthropy fundraising.
- h. Attendance by non-members at any event where alcohol is present must be by invitation only and the chapter must utilize a guest list system.

Attendance at social events (e.g., date parties, mixers, socials, formals, etc.) is limited to a guest-to-member ratio not to exceed 2:1 and must not exceed local fire or building code capacity.

For the following events, the capacity cannot exceed local fire or building code capacity of the host venue:

- Parents Events
  - Alumnae Events
  - Multi-Group Functions
  - Philanthropic Events
- i. Any event or activity related to the new member joining process (e.g., recruitment) must be substance free. No alcohol or drugs may be present if the event or activity is related to new member activities, meetings, or initiation into an organization, including but not limited to “bid night,” “Big/Little” events or activities, “family” events or activities, and any Ritual,

ceremony, or service.

- j. The chapter, members, and guests must not permit, encourage, coerce, glorify, or participate in any activities involving the rapid consumption of alcohol, such as drinking games.
- k. Personal storage and consumption of alcoholic beverages is prohibited on chapter property and in the chapter house, suite, or any other recognized chapter facility, including residence hall floors used by Alpha Omicron Pi.
- l. No function at which alcohol will be available shall be designated as required or mandatory for any collegiate member of Alpha Omicron Pi.
- m. The chapter is not permitted to hold events with organizations that are not recognized by the college/university or a governing council (e.g., IFC, MGC, NPHC, Panhellenic, etc.). This does not include organizations in good standing with their inter/national organization that have voluntarily withdrawn from college/university recognition, provided there has been no disciplinary action or that recognition has not been denied for organizational misconduct.
- n. No overnight social functions are allowed.

## **Section 2. Transportation.**

- a. **Transportation Options for Events.** For all events, the chapter leadership must identify a transportation plan for attendees. To attend, attendees must utilize the transportation plan as the only option to attend the event.
- b. **Chartered Transportation.** In the event the chapter holds a social function away from the college or university area, all members and guests attending shall travel to and from the event by a licensed and insured commercial transportation (e.g., bus or large vans) within the same day or evening.
  - (1) If the chapter hires a vendor to transport members and guests, the vendor must meet the following requirements:
    - i) Commercial Auto Insurance that provides coverage for transporting people and property for a fee.
    - ii) Commercial Auto Insurance that provides, at a minimum, primary coverage of \$1,000,000 combined single limit for bodily injury and property damage.
    - iii) A professional driver who has a current valid commercial vehicle operator's license in the state/province in which the driver is located.
  - (2) When commercial transportation is used for BYOB events no alcohol will be transported in the passenger compartment of the vehicle.
  - (3) For Third-Party Vendor events, no alcohol is allowed on the bus.
- c. **Public Transportation.** For events held within the college or university area, the chapter can utilize public transportation services including but not limited to taxi, Uber, Lyft, subway, campus bus, or city bus.
- d. **Designated Driver Program.**

- (1) Designated driver programs are allowed when they are associated with AOII, participation is voluntary, and the event is held within the college or university area.
- (2) Members should not participate in designated driver programs for events held by other organizations.

### **Section 3. Hazing.**

- a. The chapter and its members must comply with all federal, state, provincial, and local laws as they relate to hazing.
- b. The term “hazing” means any intentional, knowing, or reckless act committed by a person, whether individually or in concert with other persons, against any individual or group of individuals, regardless of affiliation, whether or not committed on chapter property, for the purpose of recruiting, joining, pledging, initiating, admitting, affiliating, or retaining membership in an organization that causes an individual or group of individuals to do any of the following, regardless of a person’s willingness to participate:
  - (1) Be coerced to violate federal, state, provincial, local law, or organizational policy.
  - (2) Be coerced to consume any food, liquid, alcoholic liquid, drug, or other substance in any non-customary manner which subjects the individual or group of individuals to a substantial risk of emotional or physical harm which includes but not limited to sickness, vomiting, intoxication, or unconsciousness.
  - (3) Endure brutality of a physical nature, including but not limited to whipping, beating, paddling, branding, dangerous physical activity, or exposure to elements or endure threats of such conduct that results in mental or physical harm.
  - (4) Endure brutality of a mental nature, including but not limited to activity adversely affecting the mental health or dignity of the individual, sleep deprivation, exclusion from social contact or conduct that could result in extreme embarrassment or endure threats of such conduct that results in mental or physical harm.
  - (5) Endure any other activity which adversely affects the health or safety of an individual, including but not limited to the disruption of academic performance or class attendance, withholding membership information, required participation in designated driving programs, line ups, calisthenics, or personal, physical, or financial servitude.

### **Section 4. Sexual Misconduct.**

The chapter and its members must comply with all federal, state, provincial, and local laws related to sexual misconduct, including, but not limited to, consent, sexual violence, sexual harassment, domestic violence, dating violence, stalking, and sexual exploitation.

The employment or use of strippers, exotic dancers or similar, whether professional or amateur, at any fraternity activity or event is prohibited.

## **Section 5. Assault & Battery.**

In any activity or event sponsored or endorsed by the chapter, including those that occur on or off chapter premises, no chapter, member or guest shall engage in assault or battery, as defined in the state/provincial statutes in which the activity or event occurs.

## **Section 6. Firearms, and Explosive or Incendiary Devices.**

The chapter and its members must comply with all federal, state, provincial, and local laws and campus policy as it relates to firearms or explosive or incendiary devices.

Firearms and explosive or incendiary devices are prohibited in the chapter facility and at all fraternity activities or events.

## **Section 7. Water Features.**

In any activity or event sponsored or endorsed by the chapter, whether they occur on or off chapter premises, the use of self-constructed structures (i.e. pools, bodies of water, slip-and-slides, or similar) is prohibited. Chapters are allowed to host events at the ocean, lake, structurally sound swimming pools, etc.

## **Section 8. Retaliation.**

The chapter must prohibit retaliation against any individual – members and non-members – for reporting, inquiring about, or cooperating with a report of a violation of the AOII Risk Management Policy.

Retaliation is any action, statement, or behavior that is designed to punish an individual for filing a compliance report, cooperating with a compliance investigation, seeking guidance regarding a compliance concern, or to deter one from taking such action.

## **Section 9. Policy Distribution.**

A copy of the Risk Management Policy is available on the AOII website ([www.alphaomicronpi.org](http://www.alphaomicronpi.org)).

## **Section 10. How to Report.**

There are numerous options to report a violation of the AOII Risk Management Policy:

- In case of an emergency, call 911.
- Contact your Chapter President, Chapter Advisor or Network Personnel.
- Call International Headquarters at 615-370-0920 during business hours.
- For immediate assistance from an International Headquarters staff member call one of the emergency lines listed on the Alpha Omicron Pi website:  
<https://www.alphaomicronpi.org/about/aoii-team/headquarters-staff/#aoii-fraternity-staff>.

**Section 11. Sanctions.**

Alpha Omicron Pi reserves the right to impose any disciplinary action it deems appropriate on an individual, chapter, or entity that is in violation of this policy.